



# LAND REGISTRY SERVICES

**NSW Land Registry Services**

**Subscriber Compliance Examination Report - Q3 2019**

*This report has been prepared in line with the ARNECC pro forma for subscriber audits*

## Subscriber Compliance Examination Report

<b>Jurisdiction</b>	New South Wales
<b>Quarter Period 01/01/2019 – 29/03/2019</b>	Financial Year 2019 Quarter 3
<b>No. of Subscribers examined (audits sent)</b>	95
<b>Completed Audits</b>	72
<b>In Progress</b>	136
<b>Unsubscribed – Audit withdrawn</b>	0

**Summary of Examinations Conducted** (double click into table to insert data. NB – Percentage of Total calculates automatically)

<b>Compliance Outcomes</b>	<b>Number</b>	<b>Percentage of total</b>
Satisfactory	62	86.1%
Unsatisfactory	10	13.9%
<b>Total</b>	<b>72</b>	

**Breakdown of 'Unsatisfactory' Results** (double click into table to insert data. NB – Percentage of Total calculates automatically)

<b>Area</b>	<b>Number</b>	<b>Percentage of Total</b>
Client Authorisation	10	83.3%
Verification of Identity	0	0.0%
Verification of Right to Deal	2	16.7%
Supporting Evidence	0	0.0%
No Response Received	0	0.0%
Other (please provide details) *	0	0.0%
<b>Total</b>	<b>12</b>	

**General Comments/Observations (analysis/key findings)**

NIL

**Recommendations**

NIL

**NAME** Eamon Mooney  
**Position** General Manager, Operations  
NSW Land Registry Services  
**Date** 1 April 2019



**NAME** Adam Bennett  
**Position** Chief Executive Officer  
NSW Land Registry Services  
**Date** 5 April 2019



Approved by Adam Bennett, CEO